



# Ongoing Support Guidelines V1.7

### Disclaimer

This document is not a stand-alone document and does not contain the entirety of Disability Employment Services Providers' obligations. It should be read in conjunction with the Disability Employment Services Grant Agreement and any relevant guidelines or reference material issued by the Department of Social Services under or in connection with the Disability Employment Services Grant Agreement.

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# **Ongoing Support Guidelines**

# **Document Change History**

Version	Effective Date	End Date	Change & Location
1.7	1 September 2024		Updated 'Modes of Contact' to include text messaging and email correspondence as acceptable forms of Contacts for delivery of Ongoing Support.
1.6	26 September 2022	31 August 2024	Amended the reference hearing impairment to deaf and hard of hearing.
1.5	28 June 2021	25 September 2022	Clarification regarding DES Provider's requirements to contact and review the Participant's Ongoing Support needs when determining if a DES Participant requires Ongoing Support prior to moving the Participant to Ongoing Support and prior to triggering an Ongoing Support Assessment.  Clarification regarding when alternative methods of communication such as email and instant chat would be considered by the Ongoing Support Assessor.
			Clarification regarding the documentary evidence requirements for Ongoing Support Assessments.
1.4	16 September 2019	27 June 2021	Amended to include a note about Participants that have reached retirement age and choses to Exit OS.
1.3	1 July 2019	15 September 2019	Amended to reflect ESSWeb IT changes being implemented 29 June 2019 as follows.  If the DES Provider does not submit the draft OSA Allocation by the OSA due date, then the Department's IT systems will pause the OS Fee. Once the DES Provider has submitted the OSA Allocation, the Provider can claim the relevant OS Fee as they become available. This is in relation to Participants that require OS and:  • Commenced in OS before 1 July 2018 and are due for their first OSA when the Participant has worked 52

Version	Effective Date	End Date	Change & Location
			weeks in Employment since the Anchor Date of the 26- week Employment Outcome and  OS Participants that are due
			for their 52 or 78 week re- assessment of their OS Needs.
			Amended to reflect ESSWeb IT changes implemented 17 April 2019 A new Noticeboard message was added to notify Providers to refer Participants (Anchored on or after 1 July 2018 that have achieved a 26-week Employment Outcome, (excluding Work Assist Participants) that have moved to Ongoing Support, to the Initial OSA as soon as possible.
			Amended to reflect ESSWeb IT change implemented on 20 March 2019 to remove the Noticeboard message that was triggered when the Participant was in 24 weeks of Post Placement Support. This message is for information only and was removed to avoid confusion to Providers.
			Amended to clarify what actions the DES Provider should take for Participants with a work capacity of 8 hours a week that are unable to meet the 8 hours a week minimum work requirement due to extenuating circumstances outside their control.
1.2	4 March 2019	30 June 2019	Amended the Exiting Participant from Ongoing Support section in relation to the requirement to Exit Participants in MOS or HOS that have been suspended for more than 12 months to include the following.
			Subject to Departmental approval, in exceptional circumstances (for example if the Participant is on maternity leave) the Provider may extend the suspension period for a further 13 weeks.
1.1	3 December 2018	3 March 2019	Updated DES Grant Agreement clause references throughout.

Version	Effective Date	End Date	Change & Location
1	1 July 2018	2 December 2018	Original version of document

### **Background**

These Guidelines outline the Provider's responsibilities and required actions in regard to assessing a Participant's Ongoing Support requirements and the subsequent provision of Ongoing Support.

# The policy intent of Ongoing Support

Ongoing Support Assistance is available to Disability Employment Services (DES) Participants who have achieved a 26-week Employment Outcome or a Work Assist Outcome, are currently Employed, and who require the services of the Provider to maintain their Employment. The Provider will work with the Participant and their Employer to enable the Participant to maintain their current sustainable Open Employment.

For Participants that have achieved a 26-week Employment Outcome and require Ongoing Support, the Provider must refer the Participant to their Initial Ongoing Support Assessment (OSA) as soon as possible.

For Participants that have achieved a 26-week Employment Outcome but do not require Ongoing Support, the Participant will remain in Post Placement Support as they work toward a 52-week outcome. If the Participant's circumstances change and they subsequently require Ongoing Support as they are tracking toward a 52-week outcome, the Provider should move the Participant into Ongoing Support.

It is not appropriate for a person to remain in Ongoing Support for the sole purpose of providing assistance to access services available under the Employment Assistance Fund (EAF). A person is able to access assistance from the EAF without needing to be participating in DES. It is also not appropriate to provide a level of Ongoing Support that is not sustainable and is likely would not be sustainable by another DES Provider upon transfer.

The Provider must work flexibly with the Participant, delivering an individual program of Ongoing Support that helps the Participant to retain their current Employment. It is expected a majority of this Support will be on-the-job assistance.

The Job Plan must accurately reflect the assistance being provided.

The Provider is responsible for delivering the appropriate level of required Ongoing Support to an eligible Participant, or transferring them to another DES Provider that is able to provide the Ongoing Support.

Ongoing Support is not available to Participants who achieve an Education Outcome. The Department's IT Systems will automatically exit a Participant after the achievement of a 26-week Education Outcome.

Participants that enter Ongoing Support from 1 July 2018 must work, on average, at least eight hours a week in order to maintain Ongoing Support eligibility. Participants receiving Ongoing Support prior to 1 July 2018 do not need to meet the eight-hour minimum.

# **Ongoing Support for Work Assist Participants**

If the Provider determines that Ongoing Support is required to retain a Work Assist Participant's Employment after a 26-week Outcome, the Provider must refer the Participant for an OSA before

moving the Participant into Ongoing Support. The Provider cannot move the Participant into Ongoing Support until the OSA has a recommendation for a Level of Ongoing Support.

# **Ongoing Support Fees**

#### Flexible Ongoing Support

Flexible Ongoing Support is paid on a fee-for-service basis for each Instance of support over four hours. Providers cannot claim an Instance of Flexible Ongoing Support after the Participant has been in Ongoing Support for 28 days until an OSA has confirmed the Participant's Ongoing Support needs.

A maximum dollar cost applies for Flexible Ongoing Support, equivalent to six Instances of support in any six-month period. Once six Instances of Flexible Ongoing Support have been claimed within a 26-week period, the Provider must arrange for an OSA to determine:

- if a higher level of support is required, or
- a further period of Flexible Ongoing Support is more appropriate.

# **Moderate and High Ongoing Support Fees**

Ongoing Support Fees are paid monthly (by default) or quarterly, in arrears. Providers that move a participant into Ongoing Support will be able to claim the first monthly payment without an OSA confirming the Participant's Ongoing Support needs. If the Initial OSA has not been completed when the next Moderate or High Ongoing Support Fee falls due, it will not be available to claim. To avoid any delays to Ongoing Support Fees, the Department encourages Providers to organise an OSA as soon as possible.

At least one contact must fall within the monthly claimable period in order for each claim for payment to be made. The minimum Contacts must be made over three consecutive Monthly Ongoing Support claims or per Quarterly Ongoing Support claim.

## Transitional Arrangements - for Participants with an Anchor Date before 1 July 2018

Where a Participant achieves a 26-week outcome with an Anchor Date before 1 July 2018, the Provider can:

- move the Participant into Ongoing Support; or
- Exit the Participant as an Independent Worker.

These Participants will generate Ongoing Support Fees quarterly (by default) or monthly. Their first OSA is due 52 weeks from the Anchor Date, unless a DES - Disability Management Service (DMS) Participant requires Moderate and High Ongoing Support, which requires a Change of Circumstances Reassessment.

## **Disability Employment Services Grant Agreement Clauses:**

Clause 22 – Evidence to support claims for payment

Section 5J - Ongoing Support

Clause 121 - Entry into Ongoing Support

Clause 122 – Participant eligibility to receive Ongoing Support

Clause 123 – Obligation to provide Ongoing Support

Clause 124 - Limitations on Disability Employment Services – Disability Management Service Ongoing Support

Clause 125 - Provider Exit from Ongoing Support

Clause 126 - Reviews of Ongoing Support

Clause 127 – Changing the Level of Ongoing Support for Disability Employment Services – Employment Support Service

Clause 128 – Updating the Department's IT Systems

Clause 129 - Change of Circumstances Reassessment during Ongoing Support

Clause 134 – Relationship failure, transfer by agreement and transfers by the Department

Clause 139 - Exits

Clause 148 – Ongoing Support Fees

Annexure B1 – Disability Employment Service Fee Schedule 1 July 2018 onwards – Ongoing Support Fees.

# Reference documents relevant to this guideline:

**Transfers Guidelines** 

**Exit Guidelines** 

**Ongoing Support Assessment Allocation Guidelines** 

**Ongoing Support Assessment Guidelines** 

Work Assist Guidelines

Job Plans and Scheduling Mutual Obligation Requirements Guidelines

Work Based Personal Assistance Guidelines

**Records Management Instructions Guidelines** 

**Documentary Evidence for Claims for Payment Guidelines** 

# **Explanatory Note:**

All capitalised terms have the same meaning as in Disability Employment Services Grant Agreement. In this document, "must" means that compliance is mandatory and "should" means that compliance represents best practice.

# **Ongoing Support Guidelines**

Who is Responsible:	What is Required:
1. The Provider  Determines Ongoing Support requirements after a Participant achieves a 26-week	The Provider will initially determine if the Participant requires Ongoing Support to retain their Employment after achieving a 26-week Employment Outcome or a Work Assist Outcome, taking into consideration the support provided to the Participant while in Post Placement Support.
Employment or Work Assist Outcome Disability Employment Services Grant	When determining if the Participant requires Ongoing Support, the Provider must contact and review the Participant's need for Ongoing Support prior to moving the Participant into Ongoing Support and prior to triggering an Ongoing Support Assessment (OSA).
Agreement Clauses References:  Clause 93 Clause 121	Once the Provider has contacted the Participant and the Participant has agreed to the need for Ongoing Support and to attending an OSA, the Provider must move Participants that require Ongoing Support into the Ongoing Support phase in the Department's IT Systems and refer the Participant to their Initial OSA as soon as possible.
<ul><li>Clause 123</li><li>Clause 128</li></ul>	Note: The Department's Assessment team is tracking unnecessary OSA Allocations and DES Providers will be required to give an explanation for such cases.
	If the Provider initially keeps the Participant in Post Placement Support, and the Participant's circumstances change as they are working toward a 52-week Outcome, the Provider must move the Participant into Ongoing Support and refer the Participant to their Initial OSA as soon as possible. The Provider can also move the Participant into Ongoing Support following a 52-week Outcome.
	Providers cannot move a Work Assist Participant until an OSA confirms their Ongoing Support needs.
	When the Participant (with an Anchor date on or after 1 July 2018) has achieved the 26-week Employment Outcome and the Provider has moved the Participant into Ongoing Support (excluding Work Assist Participants) a Noticeboard message will be displayed overnight to notify the Provider to refer the Participant to the Initial OSA as soon as possible. After the 26-week Employment Outcome, the Provider can either move the Participant into Ongoing Support or keep the Participant in Post Placement Support (refer to Ongoing Support Assessment Allocation Guidelines).
	Reasons for determining the need for Ongoing Support, remaining in Post Placement Support or Exiting the Participant must be recorded in the Department's IT Systems. See <i>Documentary Evidence Guidelines</i> for more information.
	The Provider's role in determining Ongoing Support requirements at this time also depends on the Participant's program:
	<ul> <li>DES - DMS – if the Provider determines that Ongoing Support is required to retain their current Open Employment, the Provider can deliver Flexible Ongoing Support;</li> </ul>
	<ul> <li>If the DMS Participant requires Moderate or High Ongoing Support, the Provider must refer the Participant for an OSA;</li> </ul>

# Who is Responsible: What is Required: DES - Employment Support Service(ESS) – if the Provider determines that Ongoing Support is required to retain their current Open Employment, the Provider can determine and deliver the appropriate level of Ongoing Support after the 26week Employment Outcome and before the Initial OSA is conducted or can move the Participant down a level between scheduled OSAs; Work Assist Participants – if the Provider determines that Ongoing Support is required to retain their current Open Employment, the Provider must refer the Participant for an OSA before moving the Participant into Ongoing Support. The Provider cannot move the Participant into Ongoing Support until the OSA has a recommendation for a Level of Ongoing Support. The following can be used as a guide to assist DES Providers to assess the appropriate level of Ongoing Support. No Ongoing Support required The Participant's requirements for support from their DES Provider to maintain their Open Employment while in Post Placement Support were: little or no support required; or Work Based Personal Assistance only Flexible Ongoing Support (DES – DMS or DES – ESS Participants) The Participant's requirements for support from their DES Provider to maintain their Open Employment while in Post Placement Support were: sporadic support in short bursts; episodic instances; irregular in nature; or at least four but less than a total of 25 hours in the last six months Moderate Ongoing Support (DES – ESS Participants only) The Participant's requirements for support from their DES Provider to maintain their Open Employment while in Post Placement Support were: regular and ongoing; and at least six contacts in the last three months; or between 25 and 42 hours of Support in the last six months. High Ongoing Support (DES - ESS Participants only) The Participant's requirements for support from their DES Provider to maintain their Open Employment while in Post Placement Support were: regular and ongoing; significant support either in hours or intensity; and at least 12 contacts in the last three months; or more than 42 hours of Support in the last six months. Purchased assistance and hours of Ongoing Support Personalised and appropriate assistance purchased internally and externally by DES Providers can be considered towards the

Who is Responsible:	What is Required:
	Participant's hours of Ongoing Support. Internally purchased assistance refers to assistance purchased from the Provider's Own Entity or a related Entity. Externally purchased assistance refers to assistance purchased from third party providers.
	Consideration of purchased assistance translating to hours of Ongoing Support
	Where purchased assistance meets the requirements of Ongoing Support and was delivered one-to-one to the Participant, it would be reasonable that for each hour of purchased assistance to translate to one hour of Ongoing Support assistance. Where purchased assistance meets the requirements of Ongoing Support and was delivered in modes other than one-to-one assistance, such as in a group setting, then the amount of hours of purchased assistance that may reasonably translate to Ongoing Support assistance may be based on a proportional amount that takes into consideration the cost of the assistance. For example, for a one day group-training course that costs \$115.00 and takes approximately eight hours to complete, it would be reasonable for this to translate to one hour of Ongoing Support.
	Note: For the support to be considered as Ongoing Support it must have been support required by the DES Participant to retain and/or maintain their Open Employment, Unsubsidised Self-Employment, Apprenticeship or Traineeship. The purchased assistance must directly relate to maintaining the Participant's employment.
	<ul> <li>If the Provider determines that the Participant requires Ongoing Support, Proceed to Step 2</li> </ul>
	If the Provider determines that the Participant does not have Ongoing Support needs
	Participants that do not require Ongoing Support during the 52-week Period will remain in Post Placement Support until they achieve a 52-week Employment Outcome, after which they will Exit as an Independent Worker.
	<i>Note:</i> Participants with an Anchor Date prior to 1 July 2018 will Exit as an Independent Worker if they do not require Ongoing Support after the 26-week Outcome.
	Work Assist participants that do not require Ongoing Support after the 26-week Outcome will Exit as an Independent Worker.
	Proceed to Step 8
2. The Provider  Arranges an OSA for the Participant.  Disability Employment Services Grant	If the Provider determines that certain level of Ongoing Support is appropriate, they will move the Participant to that level and begin delivering Ongoing Support ( <b>Step 5</b> ), unless it is a Work Assist Participant. A Provider must arrange an OSA as soon as possible if they initially determined a:
Agreement Clauses References:	<ul> <li>Participant that has achieved a 26-week Employment Outcome requires Ongoing Support to retain their open Employment;</li> </ul>
• Clause 121	<ul> <li>DES - DMS Participant would benefit from Moderate or High Ongoing Support;</li> </ul>
Clause 124	2050 5.8FF 20,

Who is Responsible:	What is Required:
• Clause 129	Participant has had six flexible Ongoing Support Instances within the last six months and is likely to require more; or
	<ul> <li>Participant subsequently requires Ongoing Support while tracking towards a 52-week Employment Outcome (for Participants with an Anchor Date on or after 1 July 2018).</li> </ul>
	The Provider must deliver the appropriate level of Ongoing Support while the OSA is being arranged.
	The Provider initiates an OSA Allocation in the Department's IT Systems for a Participant they determined requires Ongoing Support. DES Provider arranges an OSA for the Participant in accordance with the <i>Ongoing Support Assessment Allocation Guidelines</i> .
	At the interview with the Ongoing Support Assessor, the Provider is required to provide documents outlining the level of Ongoing Support provided to the Participant that could be considered relevant to an Ongoing Support Assessment. See <b>Attachment B</b> for more information.
	Note: Participants with an Anchor Date before 1 July 2018 will have their first OSA due 52-weeks from the Anchor Date. The Provider must deliver the necessary level of Ongoing Support until this time.
	Work Assist Participants
	Where the Provider determines that the Work Assist Participant needs Ongoing Support to maintain their current Open Employment, the provider must refer the Participant for an OSA before moving the Participant into Ongoing Support. The Provider cannot move the Participant into Ongoing Support until the OSA has a recommendation for a Level of Ongoing Support.
	Proceed to Step 3
3. Ongoing Support Assessor Undertakes an	The Ongoing Support Assessor will conduct interviews, then compile all relevant documentation and information and complete a detailed report on the Participant. This information is used to determine the Ongoing Support needs of the Participant.
assessment and submits the completed Assessment Report in the	The Ongoing Support Assessor will submit a report on the Department's IT Systems on or before the OSA due date.
Department's IT Systems  Disability Employment  Services Grant  Agreement Clauses  Reference:  Clause 128	An OSA will include a recommendation that either no Ongoing Support is required, or that Ongoing Support is required at a Flexible, Moderate or High level.
	Note: Where a Participant or DES Provider disputes the recommendation of an OSA Report, the matter should be raised with the Ongoing Support Assessor as soon as possible – see the Ongoing Support Assessment Guidelines for more information.
	The recommendation will have one of the following results:
	The OSA recommends Flexible Ongoing Support for a DES - DMS     Participant: Proceed to Step 5.
	<ul> <li>The OSA recommends a Moderate or High level of Ongoing Support for a DES - DMS Participant, and the Provider must transfer the Participant: Proceed to Step 4.</li> </ul>

Who is Responsible:	What is Required:
	The OSA recommends Flexible, Moderate or High Ongoing Support for a DES - ESS Participant: Proceed to Step 5.
	The OSA recommends that no Ongoing Support is required.
	<ul> <li>If the Participant is working towards a 52-week Outcome, the Participant must return to Post Placement Support until they achieve a 52-week Outcome after which they will Exit as an Independent Worker: Proceed to Step 8.</li> <li>If the Participant is not working toward a 52-week Outcome, the Participant must Exit: Proceed to Step 8.</li> </ul>
4. The Provider  Arranges to transfer DES  - DMS Participant.	The Provider will transfer a DES – DMS Participant to a new DES Provider if they are not contracted to provide the Program Services recommended in the OSA in their ESA (i.e. Moderate or High Ongoing Support).
·	The Provider will contact the DES – DMS Participant and explain the reason for transfer, and ascertain the Participant's DES – ESS Provider of choice. A list of Providers in the Participant's area is available in the Referral screen in the Department's IT Systems.
	The Provider will then arrange for the Participant to be transferred to the new DES Provider. See the <i>Transfers Guidelines</i> for more information.
	If the DES - DMS Provider also has a DES - ESS contract business within the same ESA, the Participant may transfer programs while remaining with the same DES Provider.
	The new DES Provider can then deliver the level of required Ongoing Support up to the recommended level, <b>Proceed to Step 5.</b>
5. The Provider  Delivers appropriate level of Ongoing Support and mode of Contact.  Disability Employment Services Grant Agreement Clauses	The Provider must discuss the determined or recommended Ongoing Support level with the Participant at their next Contact. Participants who have transferred from the DES - DMS will need to have their new level of support explained to them at their commencement interview. The Provider will then move the Participant into the required Ongoing Support level in the Department's IT Systems. The Provider will also update the Participant's Job Plan at the meeting – see the Job Plans Guidelines for more information.
References:	Ongoing Support
• Clause 93	While providing Ongoing Support, the Provider should provide tailored Ongoing Support that is required by the Participant to retain and/or
<ul><li>Clause 124</li><li>Clause 126</li></ul>	maintain their Open Employment, Unsubsidised Self-Employment, Apprenticeship or Traineeship.
• Clause 127	The Participant's requirements for support may include but are not
• Clause 129	limited to:
	<ul> <li>contacts required by the Participant to retain their current Open Employment;</li> </ul>
	assistance provided by the Provider only;
	<ul> <li>assistance purchased internally or externally from third party Providers by the Provider that directly relates to maintaining their employment;</li> </ul>

# Who is Responsible: What is Required: The assistance purchased internally and externally must be recorded in the Job Plan, and must meet all the following principles: must be reasonable, necessary and directly relate to maintaining the Participant's employment while in OS; must address the gap between what the Participant is not able to do/or has difficulty doing in their job due to their injury, disability or health condition and what they are required to do to make participation possible and enhance functioning in their job; must for professional services such as psychological interventions, be evidence based and delivered by health professionals that meet the required registration requirements in Australia; must be value for money; must not duplicate or subsidise employer obligations; and must not duplicate assistance that is available to the Participant under any other Australian or State Government service; must not duplicate services that are required to be delivered to the Participant by the Provider under the Grant Agreement; participant Training related to their current Open Employment; on-the-job assistance and guidance; skills training required to up-skill a Participant's qualification and or skills in their current employment or new employment; co-worker training; evidence based psychological counselling such as Cognitive Behavioural Therapy; advising or counselling family members; and traveling time in order to provide support. Skill-based support In addition to delivering the minimum Contacts and Ongoing Support to maintain the Participant's current employment, DES Providers have some flexibility to provide Ongoing Support to improve a Participant's qualifications and or skills. Providers can up-skill a Participant's qualifications and or skills in the same, related or new industry as the Participant's current employment. If up-skilling a Participant's qualifications and or skills in a related or new industry to the Participant's current employment, then there must be a reasonable likelihood of the Participant obtaining new employment in a reasonable period of time. When up-skilling a Participant's qualification and or skills, DES Providers must include the Ongoing Support Assistance code up-skilling assistance option and the relevant details in the Job Plan.

Who is Responsible:	What is Required:
	Job Search support Once the up-skilling of a Participant's qualifications and or skills has been completed, DES Providers can have some flexibility to provide a small amount of one-off assistance in job searching to obtain new sustainable employment. Some Participants may require more job search assistance, while other Participants may require little or no job search assistance. The approach needs to be tailored to the individual Participant's needs. In this context, there is no set minimum amount of job search assistance.
	When tailoring the amount of job search assistance required, DES Providers must take into consideration the Participant's assessed level of Ongoing Support. As a guide, the maximum amount of job search assistance should not exceed 25% of Ongoing Support Contacts required to be delivered to the Participant.
	Where the Participant requires the one-off job search assistance, this should be delivered as soon as possible to increase the Participant's likelihood of obtaining new sustainable employment (after up-skilling their qualifications and or skills). The allowable duration needs to be tailored to individual Participant's needs. In this context, there is no set allowable duration for the delivery of this one-off job search assistance.
	Note: The support provided must have been required by the Participant to maintain and/or retain the Participant's Open Employment, Unsubsidised Self-Employment, Apprenticeship or Traineeship.
	The Participant's requirement for support that does not qualify as Ongoing Support could include, but is not limited to:
	<ul> <li>Contacts provided that are not required to retain or maintain a Participant's current Open Employment;</li> </ul>
	<ul> <li>job search activities (except in the circumstance where up-skilling of the Participant's qualifications and or skills has been completed and recorded in the Job Plan);</li> </ul>
	<ul> <li>subcontracting arrangements unless approved by the Department;</li> </ul>
	<ul> <li>time spent by staff at staff meetings;</li> </ul>
	staff training; and
	staff administration.
	Flexible Ongoing Support (DES - DMS and DES - ESS Participants)
	The Provider can provide a maximum of six Instances of Flexible Ongoing Support to a Participant in any 26 calendar week period.
	An Instance can cover an accumulated number of smaller contacts, or a single instance of more intensive support (for example, visiting the workplace to adjust equipment). An Instance is contact/s that equate to a minimum of four hours of service.
	Note: Flexible Ongoing Support will be funded on a 'fee for service' basis.  Program Providers may claim a maximum of six Instances of support over a six-month period. Once six Instances have been reached, a new Change

Who is Responsible:	What is Required:
	of Circumstances OSA must be allocated to determine if a different level
	of support is required.
	Moderate and High Ongoing Support
	Participants assessed as requiring Moderate or High Ongoing Support require high levels of support and will require frequent contact depending on individual needs to successfully maintain their Open Employment. DES Providers should include the agreed Contact schedule in the Participant's Job Plan.
	Mode of Contacts
	Pursuant to clause 93.5 of the Disability Employment Services Grant Agreement, where the Provider is required to provide a Contact for Participants receiving Ongoing Support (as specified in Table 1 clause 93.1), all three levels of Ongoing Support Contacts can be delivered:
	face-to-face;
	by video conference;
	<ul> <li>by verbal conversation (phone); or</li> </ul>
	<ul> <li>by meaningful exchange of emails or text messages</li> </ul>
	The provider must agree the participant's preferred Mode of Contact and must record that preference in the Department's IT Systems and the participant's Job Plan.
	A meaningful email or text message exchange must be personalised to the participant, engaging, conversational, span over a period of time and have an outcome.
	Content covered in the Ongoing Support contact must be individualised to each Participant's circumstances and needs.
	A meaningful email or text message is one that includes information or detail of the support needs a Participant may require to maintain employment and work independently.
	One email or text message to a participant is not an acceptable instance of Ongoing Support or contact.
	The participant's preferred Mode of Contact could be due to their circumstances, their disability, their working hours, or a combination of both.
	If a participant does not respond via email or text message, the provider must reach out to the participant through other Modes of Contact (faceto-face, video or phone) which would be classed as a contact of Ongoing Support once the participant has attended.
	Please refer to the <i>Job Plans Guidelines</i> and <b>Attachment C</b> for more information.
	Changing Levels of Ongoing Support
	A Provider may change the level of Ongoing Support if the Participant requires less or more support. Changing levels can be done in the following circumstances:
	<ul> <li>If an OSA is in place, then the Provider may change the Ongoing Support level at or below the Assessor's recommended level. For example, where a Participant has progressed since the</li> </ul>

Who is Responsible:	What is Required:
	Assessment was undertaken and no longer requires the same level of Ongoing Support.
	<ul> <li>The Provider may not change to an Ongoing Support level higher than that recommended.</li> </ul>
	<ul> <li>A Participant may be referred for an OSA at any time independently of notification from the Department's IT Systems. This may be done where a change in circumstances means that a Participant may need a higher level of Ongoing Support. This situation may arise where:</li> </ul>
	<ul> <li>a Participant's circumstances change significantly (for example, more than six Instances in six months are required in Flexible Ongoing Support); and</li> <li>the Provider decides the Participant would benefit from a higher level of support;</li> <li>the Provider decides that a Participant has Ongoing Support needs where the OSA has previously recommended Post Placement Support</li> </ul>
	<ul> <li>If no OSA is in place then the DES – ESS Provider may initially determine the level that best suits the needs of the Participant</li> </ul>
	<ul> <li>If the Participant is working toward a 52-week Outcome (where the Anchor Date is on or after 1 July 2018), and no longer requires Ongoing Support, the Provider should move the Participant back into Post Placement Support.</li> </ul>
	Each change in level must be discussed and explained to the Participant.
	<i>Note</i> : Changing the levels of Ongoing Support must be in the best interests of the Participant and must not be done to maximise Ongoing Support Fees.
	Break in current Employment
	If a Participant has a break in their current employment, including Christmas shutdown, Annual leave or personal/sick leave, that impacts their need for servicing at their current level of Ongoing Support and the contact they require, the Provider must manually suspend the Participant for the period that they do not require servicing. For more information, see the <i>Period of Service Guidelines</i> .
6. The Provider	Further periods of Ongoing Support can only occur based on a
Determines further support requirements	recommendation by an Ongoing Support Assessor.  A noticeboard message will appear when a Participant has reached:
after period of Ongoing Support for all DES - DMS & DES - ESS Participants.	46 weeks from the Anchor Date of the 26-week Employment     Outcome (for Participants with an Anchor Date on or before 30     June 2018); or
Disability Employment Services Grant Agreement Clauses	It has been 46 or 72 weeks since their last Ongoing Support     Assessment.
Reference:  • Clause 126	Note: for details about whether the 46 or the 72 weeks due date applies, please refer to the Ongoing Support Assessment Guidelines.
Other References:	
Other Neierences.	

Who is Responsible:	What is Required:
Ongoing Support Assessment Guidelines	The Noticeboard message will remind the Provider to review the Participant's progress and determine whether further Ongoing Support is required.
	<ul> <li>The Provider determines that the Participant requires further Ongoing Support, Proceed to Step 7.</li> </ul>
	<ul> <li>The Provider determines that the Participant can now operate as an Independent Worker, Proceed to Step 8.</li> </ul>
7. The Provider Arranges an OSA for the Participant to review Ongoing Support.	The Provider must arrange an OSA within two days of receiving a Noticeboard message in the Department's IT Systems, if the Provider determines that a Participant who is already receiving Ongoing Support will require further support.
Disability Employment Services Grant Agreement Clause	The Provider initiates an Ongoing Support Assessment Allocation in the Department's IT Systems, in accordance with the Ongoing Support Assessment Allocation and Ongoing Support Assessment Guidelines.
Reference:	Proceed to Step 3
Clause 126	
8. The Provider	The Provider must Exit a Participant from Ongoing Support at any time a:
Exits the Participant  Disability Employment  Services Grant Clauses	<ul> <li>Provider or Ongoing Support Assessor determines that a         Participant is able to work independently without any further         Ongoing Support, and the 26-week Outcome has been achieved         (for Participants with an Anchor Date on or before 30 June 2018);     </li> </ul>
References:  Clause 121 Clause 122	<ul> <li>Provider or Ongoing Support Assessor determines that a         Participant is able to work independently without any further         Ongoing Support, and the 52-week Outcome has been achieved         (for Participants with an Anchor Date on or after 1 July 2018);     </li> </ul>
<ul><li>Clause 125</li><li>Clause 139</li></ul>	<ul> <li>Participant receiving Ongoing Support ceases to be in Employment, Unsubsidised Self-Employment, Traineeship or Apprenticeship;</li> </ul>
	<ul> <li>Participant that commenced in Ongoing Support on or after 1 July 2018 does not work on average, at least eight work hours a week (refer to note below); or</li> </ul>
	<ul> <li>Participant in Moderate or High Ongoing Support is Suspended for more than 12 months. Subject to Departmental approval, in exceptional circumstances (for example if the Participant is on maternity leave) the Provider may extend the suspension period for a further 13 weeks. This may be done multiple times.</li> </ul>
	The Participant should be notified and the decision discussed before actioning the Exit either immediately or within 20 days once the Participant is informed.
	Work Based Personal Assistance can still be provided to eligible Participants after the Exit from DES-ESS.
	Note: Participants with 8 hours work capacity per week
	For Participants that commence in Ongoing Support on or after 1 July 2018 that:
	<ul> <li>have a work capacity of 8 hours a week</li> <li>are unable to meet the 8 hours a week minimum work requirement for a particular week due to extenuating</li> </ul>

Who is Responsible:	What is Required:
	circumstances (such as illness as a result of their injury, disability or health condition or natural disasters) that were outside of the Participant's control,
	on a case-by-case basis the DES Provider will need to review the Participant's circumstances and decide whether the Participant should continue in Ongoing Support or whether it is appropriate to Exit the Participant.
	If the Participant (with 8 hours work capacity) is continually unable to meet the 8 hours a week minimum work requirement then the DES Provider will need to determine if a suspension or Exit is more appropriate.
	The DES Provider will need to keep appropriate documentary evidence to justify their decision.
	If the DES Provider determines the Participant (with 8 hours work capacity and unable to meet the 8 hours a week minimum work requirement for a particular week) should continue in Ongoing Support then on a case by case basis and subject to Departmental approval, the DES Provider can submit a special claim override request in the Department's IT systems for the relevant Ongoing Support claim.
	The Overrides and Special Claims functionality can be accessed from ESSweb > Payments > Overrides & Special Claims. For further information, please refer to the Overrides, Special Claims and Recovery Requests Advice to Providers document available on the DES Provider portal at the following path: Provider Portal>DES>Guidelines and Supporting Documents>Service Fees and Outcomes.
	Note: Participants that have reached retirement age and chooses to Exit OS
	The Provider can request a 'DES Provider Exit' from the Department in order to Exit an Ongoing Support Participant that has reached retirement age and chooses to Exit Ongoing Support. Please refer to the Exits Supporting document on the provider portal at the following path: Provider Portal>>DES>>Guidelines and Supporting Documents>>Operational Servicing>>Exits. This type of Exit will mean there is no negative impact on the DES Star Ratings. A 'DES Provider Exit' will need to be entered manually by a Departmental staff. Please contact your Relationship Manager/Funding Arrangement Manager.
	Ceasing Employment
	If a Participant receiving Ongoing Support ceases to be in Employment, Unsubsidised Self-Employment, Traineeship or Apprenticeship, the Provider must perform a Provider Exit of the Participant either immediately or within 20 Business Days if no other Employment, Unsubsidised Self-Employment, Traineeship or Apprenticeship is obtained.
	If a Participant gains new Employment, the Provider must enter details of the new employment into the Department's IT Systems and retain Documentary Evidence as verification. The Provider can enter a Change in Employment Suspension, which will delay the payment of a quarterly or monthly Ongoing Support Fee by the number of days of the Suspension.

Who is Responsible:	What is Required:
	If a Participant returns to their previous position for which they were receiving Ongoing Support, the Provider will end Change in Employment Suspension and the Provider can continue to provide Ongoing Support to the Participant.
	Multiple changes of Employment are available during any period of Ongoing Support, but all new Employment must meet the Payable Outcome criteria as set out in the Disability Employment Services Grant Agreement and any guidelines.
	<ul> <li>The Provider Exits the Participant using the appropriate Exit reason. End of Process.</li> </ul>

# Attachment A - Table 1 - Minimum Contacts

Type of Participant	Minimum Contacts
All Participants (excluding Work Assist Participants)	Initial Interview
	Six Contacts over each period of three months for Participants receiving Employment Assistance and Extended Employment Assistance.
	Regular Contacts, as deemed appropriate by the Provider, for Participants receiving Post Placement Support.
	Initial Interview for a New Program when a Participant moves from Disability Employment Services – Disability Management Service to Disability Employment Services – Employment Support Service or vice versa.
	As required, for Participants receiving Flexible Ongoing Support.
Work Assist Participants.	Initial Interview
	Regular Contacts, as deemed appropriate by the Provider, during the Period of Service.
Disability Employment Services – Employment Support Service Participants only (applicable to Disability Employment Services – Employment Support Service Providers only)	Six Contacts over each period of three months for Participants receiving Moderate Ongoing Support.
	Twelve Contacts over each period of three months for Participants receiving High Ongoing Support.

**Note 1:** The timing and duration of Contacts is not specified but will depend on the individual circumstances of each Participant, as determined by the Provider.

**Note 2:** There are no minimum number of Contacts specified for Participants who are receiving Flexible Ongoing Support.

# **Attachment B - Documentary Evidence for Ongoing Support File Assessments**

If the Provider determines that Ongoing Support is necessary for a Participant to retain their Open Employment, they will need to retain documents that demonstrate the level of Ongoing Support provided to the Participant that could be considered relevant to an Ongoing Support Assessment (OSA).

As per clause 130.3 of the DES Grant Agreement, the Provider must provide all necessary documentation and assistance to the Ongoing Support Assessor to assist the conduct of an OSA.

At a minimum, the evidence that assessors will require from the DES Participant's file includes:

- details of the frequency of support;
- number of contacts;
- amount of hours of support; and
- specific support strategies organised, delivered and/or purchased, including internally or externally from third party Providers by the Provider (excluding items claimed under the EAF and Work Based Personal Assistance);
  - o in the last 6 months for Participants that have achieved a 26-week Employment Outcome with an anchor date on or after 1 July 2018 and
  - o in the last 12 months for other Participants,

to address identified vocational and non-vocational barriers that directly related to maintaining their employment

Information from the Department's IT Systems could include (but is not limited to):

- details of instances of Flexible Ongoing Support;
- details of contacts recorded, including the date, time and method of contact;
- support provided by the Provider in response to issues raised during these contacts; and
- details of the Participant's Job Plan

Other evidence supplied could include (but is not limited to):

- reports (such as the ESAt) and assessments from doctors or other professionals;
- invoices and receipts;
- file assessments completed by the Provider;
- observation records;
- information from interviews with the Participant, and/or other stakeholders including parents, advocates, employers and supervisors;
- file notes that detail the date and time of each interview or phone conversation, including the reason for the contact;
- file notes that detail the progress made by the Participant to overcome past barriers to working independently since the Employment Placement or the last OSA; and
- templates developed by DES Providers to collect and record documentary evidence to support Ongoing Support Assessments (the use of such templates contributes to the consistency of evidence collection).

All relevant evidence provided will be considered by the Ongoing Support Assessor to determine the Ongoing Support level recommendation. There are no pre-determined weightings.

The following is a guide for DES Providers to assess the Ongoing Support needs for their Participants and to assist with appropriate and comprehensive documentation that will be required by the Ongoing Support Assessor.

#### Past barriers

Specific details of the Participant's past barriers to working independently since the Employment Placement or since the last OSA has been discussed and documented.

## Impact of barriers

Information about the perceived impact of the Participant's barriers in relation to the Participant's work duties since the Employment Placement or since the last OSA.

#### Past and current Ongoing Support

The nature, frequency and amount in hours of support provided since the Employment Placement or since the last OSA to address the past barriers.

Specific details of the Ongoing Support strategies organised, delivered, or purchased internally or externally from third party Providers by the Provider from its own funds to address the Participant's past barriers and their impact on work duties since the Employment Placement or since the last OSA.

#### **Progress**

Information (from the Provider's perspective) in relation to the progress made by the Participant to overcome the past barriers since the Employment Placement or since the last OSA.

### **Current and future barriers**

Information about the DES Participant's current and future barriers to existing employment that the Provider perceives as requiring Ongoing Support.

### **Future Ongoing Support**

The nature, frequency and amount in hours of support likely to be needed in the future to address the identified ongoing barriers to employment.

# Attachment C – Ongoing Support Required to retain and maintain Open Employment

The table below provides information on a number of support needs the Participant may experience in trying to maintain employment and work independently, but is not exclusive.

Categories	Support
Social and Behavioural Assistance	The level of assistance needed to be provided by the Provider since the Employment Placement(s) and in the future to enable the Participant to:
	<ul> <li>a) maintain friendly and cooperative relationships with others;</li> <li>b) greet and interact with people confidently;</li> <li>c) behave appropriately in work situations;</li> <li>d) control and manage anger and frustration appropriately;</li> <li>e) cope with work-related stress and pressure appropriately;</li> <li>f) maintain a positive outlook and mood most of the time;</li> <li>g) manage fear or anxiety about work issues;</li> <li>h) display emotions appropriate to the situation;</li> <li>i) cope with change in the work environment;</li> <li>j) address attitudinal barriers e.g. difficulty in dealing with authority figures and difficulty accepting direction; and</li> <li>k) maintain personal hygiene, grooming, and dress appropriate to work environments.</li> </ul>
Cognitive Assistance	The level of assistance needed to be provided by the Provider since the Employment Placement(s) and in the future to enable the Participant to:  a) learn complex tasks (e.g. involving three or more steps) relevant to their current job after being shown or instructed in the task once or twice; b) learn simple tasks (e.g. involving one or two steps) relevant to their current job after being shown or instructed in the task once or twice; c) solve problems and make decisions appropriate to current work role; d) understand and follow complex new instructions (e.g. involving three or more steps); e) understand and follow simple new instructions (e.g. involving one or two simple steps); f) remember tasks or instructions for the remainder of the work/training day after being shown or told; g) remember tasks or instructions shortly after being shown or told; h) concentrate on tasks without being distracted; and i) plan and organise work tasks.
Vocational Assistance	The level of assistance needed to be provided by the Provider since the Employment Placement(s) and in the future to enable the Participant to:  a) undertake the full range of tasks required for their current employment;

Categories	Support
	<ul> <li>b) understand the basic requirements of employment (e.g. attending work, reporting to supervisor, complying with instructions);</li> </ul>
	c) demonstrate a level of work productivity and work quality, including WHS standards acceptable in the workplace (including under supported wages system);
	d) work on task under the usual supervisory conditions for at least 30 minutes;
	e) work on task under the usual supervisory conditions for at least 1 hour;
	<ul> <li>f) understand time and be punctual in starting and finishing work and scheduled breaks;</li> <li>g) respond appropriately to instructions from work/work</li> </ul>
	<ul><li>g) respond appropriately to instructions from work/work preparation supervisor;</li><li>h) use initiative appropriately in the workplace (e.g. initiate</li></ul>
	work tasks, move on to the next step, etc.); i) asks for assistance appropriately if required;
	j) comply with safety requirements in the workplace or work preparation sessions;
	<ul> <li>k) attend work or work preparation sessions to a satisfactory level for the employee;</li> <li>l) give appropriate notification of any absences (e.g. due to</li> </ul>
	sickness); m) contact employer by telephone;
	n) adapt to environment conditions in the workplace (e.g. noise, heat, cold, humidity);
	<ul> <li>o) travel to and from work independently (e.g. travel training or assisting with transport bookings);</li> <li>p) develop awareness and acceptance of own abilities and</li> </ul>
	limitations in work activities and employment goals; and q) be motivated and enthusiastic about current employment.
Physical Assistance and Personal Care	The level of assistance needed to be provided by the Provider to the Participant since the Employment Placement(s) and in the future to enable the Participant to:
	<ul> <li>a) manipulate objects and complete gross motor tasks (e.g. tasks involving dexterity of fingers) relevant to work placement;</li> </ul>
	<ul> <li>b) move objects around and complete gross motor tasks (e.g. tasks involving movement and coordination of arms and/or legs);</li> </ul>
	<ul> <li>c) lift and move objects in accordance with the requirements of work placement and within safety limits;</li> <li>d) move around the workplace or training environment</li> </ul>
	freely and safely; e) set up and arrange own work environment, equipment
	and materials; f) maintain required work pace without tiring;
	g) see clearly to perform work related activities (when wearing glasses or contact lenses if normally worn);
	h) attend to toileting and personal hygiene needs;

Categories	Support
	<ul> <li>i) prepare and consume drinks and food at work or work preparation setting;</li> <li>j) manage own medication while at work;</li> <li>k) maintain personal comfort and pressure area care (if unable to walk);</li> <li>l) manage pain associated with physical injury or illness; and</li> <li>m) transfer between wheelchair and other seating and/or load and unload from wheelchair transport.</li> </ul>
Workplace Environment Assistance	The level of assistance needed to be provided by the Provider to the Participant since the Employment Placement(s) and in the future will likely be:  a) workplace determination (e.g. determination of worksites for physical accessibility and/or modification requirements); b) negotiating and arranging modifications to the workplace environment (e.g. building modifications, ramps); c) job modification or redesign to match the capabilities of the Participant; d) selection and procurement of adaptive equipment or technology; e) training the Participant in the use of adaptive equipment or technology; f) training co-workers in the use of adaptive equipment and technology; g) supporting co-workers to adjust to the Participant's abilities and workplace support needs; h) supporting the employer to accommodate the Participant's abilities and workplace support needs and
	redesign tasks as appropriate; and i) support supervisors to work with and support the Participant.
Special Assistance	The level of assistance needed to be provided by the Provider to the Participant since the Employment Placement(s) and in the future will likely be:
	<ul> <li>a) physical intervention by staff to prevent injury to self or others (e.g. due to aggression or self-injurious behaviour);</li> </ul>
	<ul> <li>b) non-physical intervention by service staff to prevent injury to self or others (e.g. verbal intervention, behaviour management strategies);</li> </ul>
	<ul> <li>c) first aid treatment for episodic conditions such as epilepsy or asthma or incidents such as falls or other immediate threats to health;</li> </ul>
	d) psychological counselling or other intervention for severe mental health-related episodes such as severe stress, anxiety, panic attack, delusions or suicidal threat; and

Categories	Support
	e) counselling for less acute issues such as grief, behavioural issues.
Other Assistance	The following types of other assistance the Provider will likely need to provide or fund since the Employment Placement(s) and in the future will be:
	<ul> <li>a) advising, supporting or counselling the Participant's family regarding the Participant's employment related issues;</li> </ul>
	b) assisting the Participant in employment related matters involving other agencies
	<ul> <li>(e.g. declaring income to Centrelink);</li> <li>c) liaising with other agencies and treating professionals regarding the Participant's disability, medical or psychiatric condition;</li> </ul>
	d) providing recognised vocational skills training relating to current or new Open Employment (i.e. training towards a recognised vocational certificate or New Apprenticeship);
	e) transporting the Participant to and from work, related training or other employment related appointments where no private or public transport is available for
	Participant to use;  f) interpreter assistance for interviews and/or work orientation (e.g. sign language interpreter or other language interpreter);
	g) English language and/or literacy training for the Participant if required to retain Open Employment.
Communication Abilities	When determining the support needs, the Provider should consider Participant's communication abilities and the impact on maintaining employment. These include:
	a) understanding language;
	b) use of expressive language;
	c) speaks another language;
	d) deaf and hard of hearing; and
	e) other communication barriers.

## **Claiming Ongoing Support Fees**

# Who is Responsible: What is Required: 1.a The Provider The Provider delivers Flexible Ongoing Support and records the details in the Ongoing Support Management screen of the The Provider provides Instance Department's IT Systems. of Flexible Ongoing Support and enters details into the For Participants with an Anchor Date on or after 1 July 2018 Department's IT Systems. If the Initial OSA has not been completed after 28 days, the Provider **Disability Employment Services** cannot claim an Instance of Flexible Ongoing Support. Once the Initial **Grant Agreement Clause** OSA confirms the Participant's Ongoing Support needs, the Provider References: can claim Flexible Ongoing Support Fees as they become available. Clause 121 For Participants commenced in OS before 1 July 2018 and Clause 148 Participants due for 52 or 78 week re-assessment of OS needs If the DES Provider does not submit the draft OSA Allocation (for Participants that require OS) by the OSA due date, then the Department's IT systems will pause (Provider cannot lodge) the OS Fee. Providers should not submit a special claim for this reason. Once the DES Provider has submitted the OSA Allocation, the Provider can claim the relevant OS Fee as they become available. This is in relation to Participants that require OS and: Commenced in OS before 1 July 2018 and are due for their first OSA when the Participant has worked 52 weeks in Employment since the Anchor Date of the 26-week **Employment Outcome and** OS Participants that are due for their 52 or 78 week reassessment of their OS Needs. **Instance of Ongoing Support** An Instance are contacts that equate to a minimum of four hours of service. The Provider can provide a maximum of six Instances of Flexible Ongoing Support to a Participant in any 26 calendar week period. An Instance can cover an accumulated number of smaller contacts, or a single Instance of more intensive support (for example, visiting the workplace to adjust equipment). DES Provider chooses not to claim a Flexible Ongoing Support Fee at this time. Proceed to Step 2

DES Provider chooses to claim a Flexible Ongoing Support Fee

at this time. Proceed to Step 1b.

# Who is Responsible:

#### •

# 1.b The Department

The Department's IT Systems displays the first or subsequent Moderate or High Ongoing Support Fee for all Participants or a Flexible Ongoing Support Fee that was not claimed at the time assistance was provided.

Disability Employment Services Grant Agreement Clause References:

- Clause 121
- Clause 148

## What is Required:

### Claiming Flexible, Moderate or High Ongoing Support Fees

The Department's IT Systems will display any currently available Flexible, Moderate or High Ongoing Support Fees for the Provider's caseload on the *Job Seeker Payments* screen or the *Site Payments* screen.

The system checks to verify the following things.

# **Ongoing Support Fee:**

- That an Instance of Flexible Ongoing Support is recorded; or
- That the Participant was in Moderate or High Ongoing support for the entire payment time period; and
- That a Tax Invoice has not been submitted previously for this payment by the Provider.

If the Initial OSA has not been completed during the initial period of Ongoing Support (the 28 days from when Participant started Ongoing Support), the Provider will not be entitled to any Ongoing Support Fees until the OSA has been undertaken and the Participant's Ongoing Support needs are confirmed. For example, if the OSA is not completed until the fifth day after the initial Ongoing Support period, the second Moderate or High Ongoing Support Fee will be paid pro rata for 23 days of Ongoing Support (28 days on the monthly claim period minus the five days where the OSA was not completed).

Once the Initial OSA has been completed in the Department's IT Systems Ongoing Support Fees will be resumed as appropriate and Providers can claim Ongoing Support Fees as they fall due.

Where an OSA determines that a Participant does not have Ongoing Support needs, the Provider will still be entitled to Ongoing Support Fees covering the first four weeks of the Participant's time in Ongoing Support.

For example, if the OSA determines that the Participant does not have Ongoing Support requirements *before* the first Moderate or High Ongoing Support Fee falls due (i.e. within four weeks of the Participant entering Ongoing Support), the Provider is still entitled to the Ongoing Support Fee when it falls due at four weeks.

For Participants commenced in OS before 1 July 2018 and Participants due for 52 or 78 week re-assessment of OS needs

If the DES Provider does not submit the draft OSA Allocation by the OSA due date, then the Department's IT systems will pause (Provider cannot lodge) the OS Fee. Providers should not submit a special claim for this reason.

Once the DES Provider has submitted the OSA Allocation, the Provider can claim the relevant OS Fee as they become available. This is in relation to Participants that: require OS and:

- Commenced in OS before 1 July 2018 and are due for their first OSA when the Participant has worked 52 weeks in Employment since the Anchor Date of the 26-week Employment Outcome and
- OS Participants that are due for their 52 or 78 weeks reassessment of their OS Needs.

Who is Responsible:	What is Required:
	Working Hours field
	The use of this field is voluntary.
	The Working Hours field on the Job Seeker Payments screen in ESSWeb is available for DES Providers to use when claiming Ongoing Support Fees.
	This field was developed to capture the working hours in a defined field for Ongoing Support Participants, in order to increase the visibility of this information.
	Where the Provider chooses to enter information into this field, the user must select 'Save Hours' to save the hours they have entered into ESSWeb, prior to lodging the Ongoing Support Service Fee payment.
	The number of hours a Participant in Ongoing Support is working is information that DES Providers should be aware of and should not require any additional impost to collect.
	Pro Rata Flexible, Moderate or High Ongoing Support Fee:
	<ul> <li>Where a Participant was in both Moderate and High Ongoing Support within the same claim period, the amount will be calculated using the number of days in each level, the rate for each level and the time period of the payment (i.e. monthly or quarterly).</li> </ul>
	<ul> <li>Where a Participant was in Flexible Ongoing Support as well as either or both Moderate or High Ongoing Support, the number of days in Flexible Ongoing Support will be calculated as zero. The amount for the Moderate and/or High Ongoing Support will be calculated using the number of days in each level, the rate for each level and the time period of the payment (i.e. monthly or quarterly).</li> </ul>
	Pro Rata Moderate or High Ongoing Support Fee following transfer to another DES Provider
	The amount payable will be calculated:
	<ul> <li>for the relinquishing DES Provider, based on the period of time from the commencement of the monthly or quarterly period in which the date of the transfer occurs to the date of the transfer recorded on the Department's IT Systems; and</li> </ul>
	<ul> <li>for the receiving Provider, based on the period of time from the date of the initial Contact with the Participant by the receiving DES Provider to the end of the monthly or quarterly period in which the date of transfer occurs.</li> </ul>
	Note: Ongoing Support payments for Moderate or High Ongoing Support are made in arrears. The payment time period for claiming Ongoing Support Fees is monthly (by default) or quarterly (once the initial OSA is completed, at the Provider's request). For the Provider to claim Ongoing Support Fees, the Participant must be receiving Ongoing Support at the end of the relevant payment time period. A pro rata payment is not available if the Participant Exits Ongoing Support.

Support.

Who is Responsible:	What is Required:
2. The Provider  The Provider chooses not to claim a Flexible Ongoing Support Fee at the time assistance is provided	If the Provider chooses not to claim Flexible Ongoing Support Fee at the time assistance is provided, no claim for payment will be processed.  In these circumstances, the claim will be listed on the Job Seeker Payments screen and the Site Payments screen.  • End of process
3. The Provider The Provider chooses a claim (or claims) on the list of available Ongoing Support Fees on the Job Seeker Payments screen or the Site Payments screen Disability Employment Services Grant Clause References:  Clause 148.10 Clause 148.19	The Provider may choose a claim from the list of currently available Ongoing Support Fees for a Participant on the Job Seeker Payments screen. Alternatively, the Provider may choose one or more claims (for one or more Participants) at the same time from the list of currently available Ongoing Support Fees on the Site Payments screen.  The lists will be posted and updated regularly and take into account all the relevant information recorded in the Department's IT Systems at the time.  The Provider's staff member with authority to claim then submits a claim.  When using the Site Payments screen, the Provider will need to select the Claim Category and Payment Type.
4. The Department  The Department's IT Systems displays a Tax Invoice to the Provider.  Disability Employment Services Grant Agreement Clause Reference:  • Clauses 148	<ul> <li>The Department's IT Systems will display a Tax Invoice for Fee for the individual Participant after verifying that the Provider's staff has authority to make the claim.</li> <li>Proceed to Step 5 if the Provider wishes to submit a Tax Invoice.</li> <li>Proceed to Step 3 if the Provider decides to decline to submit a Tax Invoice at this time or if the Provider's staff member does not have authority to make a claim.</li> </ul>
5. The Provider The Provider elects to submit the Tax Invoice Disability Employment Services Grant Agreement Clause Reference:  • Clauses 148.5	The Provider elects to submit a Tax Invoice.  The Provider must ensure the appropriate documentary evidence has been collected and retained in relation to this claim before submitting the Tax Invoice in the Department's IT Systems.  See the <i>Documentary Evidence Guidelines</i> for more information.
6. The Department The Department's IT Systems processes payment	The Department's IT Systems will process the Tax Invoice and make payment.  The Department will pay the Fee to the Provider on the next scheduled fortnightly payment date. DES pays Providers on a fortnightly payment cycle, the start and end of which is determined by the Department at its absolute discretion.  Note: The cut-off point each fortnight for submitting the Tax Invoice is the Friday before the Provider's payment day. Submitting by this day will ensure payment is made to the Provider in that fortnightly payment.  • End of process